



Singapore
Branch

CI Arb

SIAC
Singapore International Arbitration Centre

The Chartered Institute of Arbitrators (Singapore Branch) and
the Singapore International Arbitration Centre (SIAC) jointly present a talk on:
**MANAGING FINANCIAL DISPUTES: LESSONS FROM THE PAST AND
THE USE OF EFFECTIVE ARBITRATION STRATEGIES**

• **Date:** 30 August 2012 • **Time:** 6.00pm – 7.45pm • **Venue:** Stamford Law Corporation



Synopsis

The financial crisis of 2008 led to the dramatic collapse of financial institutions and a spate of disputes, ranging from the calculation of amounts to be paid by parties under financial instruments to the very validity of the products themselves.

As the global economic outlook remains uncertain, and with storm clouds brewing over Europe, is the financial industry now better prepared for handling these sorts of problems and managing disputes?



1.5
PUBLIC
CPD
POINTS

Learn from the experts as they discuss strategies for dealing with and resolving financial disputes, including:

- Case study (Lehman Brothers): ISDA termination process and the problems of valuing complex derivatives
- Case study (private banking): disputed transactions and making good losses suffered by customers
- Courts or Arbitration? The efficacy of unilateral arbitration clauses
- Tailoring the arbitral process (*SIAC Rules; SIAC SGX-DT Rules; Prime Finance*)
- Key considerations: where to arbitrate, the choice of arbitrator, bespoke provisions in the arbitration agreement

PANEL OF SPEAKERS

Chris Matten, Partner, Financial Services Industry Practice, PricewaterhouseCoopers LLP

Chou Sean Yu, Partner, Wong Partnership LLP

CHAIRPERSON

Timothy Cooke, Foreign Registered Counsel, Stamford Law Corporation

Programme

| | |
|-----------------|-----------------------------|
| 6.00pm – 6.15pm | Registration |
| 6.15pm – 7.15pm | Talk and Panel Discussion |
| 7.15pm – 7.45pm | Q&A |
| 7.45pm – 9.00pm | Refreshments and networking |

SPEAKERS



CHRIS MATTEN

Chris Matten is a Partner in PricewaterhouseCoopers' (PwC) Singapore Financial Services practice. Chris is charged with the development of risk and capital management solutions for financial institutions and corporate treasuries. He is also the global leader of PwC's Risk-Based Capital Management network.

Chris has over 17 years experience in the financial services industry, working in various risk- and financial-control roles. His work experience has taken him from the UK, where he qualified as a Chartered Accountant, to Japan, Switzerland, Australia and Singapore. Prior to joining PwC, Chris was the CFO of OCBC, a listed Singapore-based bank. His two previous roles before that were as head of balance sheet management for the National Australia Bank and group financial controller for Swiss Bank Corporation.

Chris has carried out a large number of risk and capital management projects for PwC. His Basel II work encompasses projects at banks in Singapore, Thailand, Taiwan, China, Malaysia, Saudi Arabia and Pakistan. His Economic Capital work (including Basel II Pillar 2 ICAAP engagements) has taken him to Canada, Australia, the United Kingdom, Japan, Taiwan, China and South Africa. His book *Managing Bank Capital* (2nd edition 2000) has been translated into several foreign languages).

Chris has a BA (Hon) 1st Class from London University and is a qualified chartered accountant. He is also a member of the Professional Risk Managers' Industry Association (PRMIA) and an associate member of the Chartered Institute of Arbitrators.



CHOU SEAN YU

Chou Sean Yu is the Head of the Banking & Financial Disputes Practice, the Joint Head of the Restructuring & Insolvency Practice and a Partner in the Financial Services Regulatory Practice at WongPartnership LLP.

Sean Yu's main areas of practice are domestic & international litigation and arbitration work with particular expertise in banking & trade finance disputes, commercial & corporate disputes, insolvency & restructuring, corporate fraud, investigations & asset recovery, shareholder litigation and tort & contractual claims.

Sean Yu has a LLB (Hons) 1st Class from Bristol University. He is a Fellow of the Insolvency Practitioners Association of Singapore and is on the Panel of Arbitrators of the Korean Commercial Arbitration Board and the Kuala Lumpur Regional Centre for Arbitration. He is also a Fellow of the Chartered Institute of Arbitrators. Sean Yu is recommended as a leading lawyer in *Chambers Asia Pacific – Asia Pacific's Leading Lawyers: Restructuring and Insolvency* since 2010 and in *PLC Which Lawyer – Restructuring and Insolvency* since 2008.

TIMOTHY COOKE



Timothy is a Foreign Registered Counsel at Stamford Law Corporation. He advises clients in all areas of alternative dispute resolution, with a particular focus on international commercial arbitration. He has twelve years' experience acting for clients in a broad array of cross-border commercial disputes, particularly those in the fields of banking and finance, energy and infrastructure, and joint venture and other investment disputes. He is fluent in the major rules of arbitration, including ICC, UNCITRAL, LCIA, SIAC, HKIAC and LMAA and has conducted arbitrations in numerous seats including Singapore, London and Geneva. He speaks and writes regularly on current issues in international arbitration.

Recent cases include acting for an international investment bank against another investment bank with respect to the valuation of a proprietary trading derivatives portfolio, and advising a retail bank in relation to claims against customers for sums due under 'accumulator' products.

Timothy has a BA (Hons) 1st Class from Cambridge University. He was called to the Bar of England and Wales (Middle Temple) in 2000 and remains a practising barrister. He is a member of the Chartered Institute of Arbitrators.

REGISTRATION FORM

“Managing Financial Disputes: Lessons from the Past and the Use of Effective Arbitration Strategies”

◆Date: 30 August 2012 ◆Time: 6.00pm – 7.45pm

◆Venue: Stamford Law Corporation, 10 Collyer Quay #27-00, Ocean Financial Centre, Singapore 049315

REGISTRATION CLOSING DATE: 24 August 2012

Please complete all sections in BLOCK CAPITALS and return your completed form (with payment) to:

Secretariat, Chartered Institute of Arbitrators (Singapore) Limited

1003 Bukit Merah Central #02-10, Inno. Centre, Singapore 159836

Email: secretariat@ciarb.org.sg

Tel: +65-6278 2538

Fax: +65-6278 7518

REGISTRATION DETAILS

| | |
|---|--|
| Dr/Mr/Mrs/Miss/Mdm: | Full name: <i>(Please underline surname.)</i> |
| Claiming CPD Points: <input type="checkbox"/> Yes <input type="checkbox"/> No | Tel: |
| AAS No.: | Email: <i>(A valid email address is required for confirmation of registration.)</i> |
| Designation: | Organisation Name: |
| Address: | |

SILE ACCREDITED CPD ACTIVITY

1.5 Public CPD Points

Practice Area: Alternative Dispute Resolutions

Training Level: General

SILE Attendance Policy

A participant who is present at the event, and is not at any time absent for more than 15 minutes after the commencement of the activity, shall be awarded the full CPD Points approved by SILE. To ensure the award of CPD Points, participants requiring CPD Points are reminded to sign-in on arrival and sign-out at the conclusion of the event. Please refer to www.silecpdcentre.org.sg for more information on the Attendance Policy and the CPD scheme.

REGISTRATION FEE

S\$25 for CI Arb members; S\$60 for members of supporting organisations; S\$80 for non-members. *Please tick appropriate box.*

| | | |
|--|---|--|
| <input type="checkbox"/> CI Arb Member and SIAC Panellists: S\$25 nett CI Arb Membership No.: | <input type="checkbox"/> Non-member: S\$80 nett | |
| <input type="checkbox"/> S\$60 nett for Members of Supporting Organisations: <i>Membership No.:</i> | | |
| <input type="checkbox"/> Association of Certified Fraud Examiners (ACFE) | <input type="checkbox"/> The Institution of Engineers, Singapore (IES) | <input type="checkbox"/> Society of Construction Law (Singapore) (SCL Singapore) |
| <input type="checkbox"/> Australian Institute of Quantity Surveyors (AIQS) | <input type="checkbox"/> Law Society of Singapore (LSS) | <input type="checkbox"/> Singapore Institute of Architects (SIA) |
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| <input type="checkbox"/> Insolvency Practitioners of Singapore (IPAS) | <input type="checkbox"/> Lighthouse Club | <input type="checkbox"/> Singapore Institute of Surveyors and Values (SISV) |
| <input type="checkbox"/> Institute of Certified Public Accountants of Singapore (ICPAS) | <input type="checkbox"/> Singapore Contractors Association (SCAL) | <input type="checkbox"/> Tunnelling and Underground Construction Society (Singapore) (TUCSS) |
| <input type="checkbox"/> Institution of Civil Engineers (ICE) | <input type="checkbox"/> Singapore Corporate Counsel Association (SCCA) | |

PAYMENT METHOD

Please select one of the following payment methods:

Cheque/Bank Draft: Please find enclosed a Cheque/Bank Draft made payable to
“Chartered Institute of Arbitrators (Singapore) Limited”
for the amount of S\$_____ (Bank: _____/Cheque/Draft No.: _____)

By bank transfer or Inter-bank GIRO (IBG). I confirm I have made payment of S\$_____ by bank transfer/IBG to DBS Account No.: 001-905849-0 on _____ and the Transaction Reference No. is _____.

TERMS & CONDITIONS

By submitting this form, the applicant has certified that the above information is accurate to the best of his/her knowledge and has agreed to the following terms & conditions:

1. Any cancellation received in writing 20 days or more prior to the event date will be subjected to a 50% cancellation charge.
2. There is no refund for cancellations within 20 days of the event date.
3. Registration will be confirmed only upon receipt of the appropriate registration fee.
4. For substitutions, please let us have the name and contact details (including email address) of the substitute candidate in writing before the event date to avoid cancellation charges.
5. Full payment of the registration fee shall apply to any “no-show” by a registered candidate on the event date.

Disclaimer: The Chartered Institute of Arbitrators (Singapore) Limited reserves the right to cancel or change the date, venue or content of programmes, speakers, lecturers or tutors without prior notice.